

PTO/SB/01A (10-01)
 Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention Hand-Operated Jointed Control Lever

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or
☐ Application No. _____, filed on _____,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Stephen H. Canonico

Date: Oct. 21, 2003

Signature: *Stephen H. Canonico*

Resident of: Abingdon, VA

Citizen of: USA

Inventor two: _____

Signature: _____

Citizen of: _____

Inventor three: _____

Signature: _____

Citizen of: _____

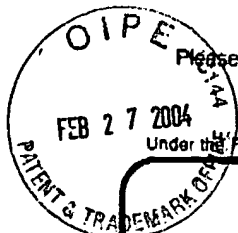
Inventor four: _____

Signature: _____

Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Stephen H. Canonico
Title	Hand-Operated Jointed Control Lever
Group Art Unit	
Examiner Name	
Attorney Docket Number	A3,041

I hereby appoint:

☐ Practitioners at Customer Number

Place Customer
Number Bar Code
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
Russell H. Walker	35,401
Larry W. McKenzie	28,239

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

Place Customer
Number Bar Code
Label here

OR

☒ Firm or
Individual Name

Russell H. Walker

Address

Walker, McKenzie & Walker, P.C.

Address

6363 Poplar Ave., Suite 434

City

Memphis

State

TN

Zip

38119-4896

Country

USA

Telephone

901-685-7428

Fax

901-682-6488

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Stephen H. Canonico, Vice President, Appalachian Cast Products, Inc.

Signature

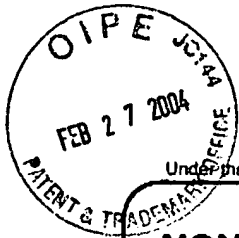
Date

Oct. 21, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Stephen H. Canonico
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Title	Hand-Operated Jointed Control Lever
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Atty Docket Number	A3,041
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I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

OCT. 21, 2003

Date

Stephen H. Canonico

Signature

Stephen H. Canonico, Vice President

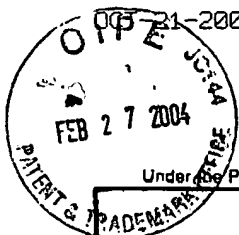
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Stephen H. Canonico

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: Hand-Operated Jointed Control LeverAppalachian Cast Products, Inc., a Virginia Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

OCT. 21, 2003
Date

Stephen H. Canonico

Typed or printed name

Stephen H. Canonico
Signature

Vice President, Appalachian Cast Products, Inc.
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NOT FOR RECORDATION BY
USPTO ASSIGNMENT BRANCH

A S S I G N M E N T

WHEREAS, I, Stephen H. Canonico ("Canonico"/Assignor), of Abingdon, County of Washington, State of Virginia and a citizen of the United States of America, whose residence and Post Office address is 13606 Fall Hill Rd., Abingdon, Virginia 24210, am the inventor of certain new and useful improvements in a Hand-Operated Jointed Control Lever (the "Invention") as described in U.S. Provisional Patent Application No. 60/419,981 (filed October 21, 2002) entitled "Control Lever-Jointed To Prevent Breakage" (the "Provisional Application") and as further described and claimed in a non-provisional patent application entitled "Hand-Operated Jointed Control Lever" preparatory to filing a U.S. non-provisional application for Letters Patent therefor (the "Non-Provisional Application"), further identified as File and Docket Number A3,041, for which a Declaration for Patent Application was signed by me on OCTOBER 21, 2003.

WHEREAS, Appalachian Cast Products, Inc. ("ACPI"/Assignee), a Virginia corporation, whose principal place of business is 26372 Hillman Highway, Abingdon, Virginia 24210, is desirous of acquiring the entire right, title and interest in the and to said Invention and said Provisional and said Non-Provisional Applications; and

WHEREAS, ACPI is desirous of further acquiring the entire right, title and interest in and to said U.S. Non-Provisional Application and any Letters Patent granted therefor;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt of which in hand is hereby acknowledged, and other good and valuable consideration, I, Stephen H. Canonico (Assignor), by these presents do sell, assign and transfer unto the said Appalachian Cast Products, Inc. (Assignee), my full and exclusive right, both domestic and foreign, to the said U.S. Provisional and Non-Provisional Applications, and also my entire right, title, and interest in and to any and all Letters Patent that may be granted therefor, both domestic and foreign, as well as any and all Letters Patent granted upon any divisional, reissue, re-examination, continuation, and/or continuation-in-part applications claiming priority thereof, both domestic and foreign, as

1 well as any and all extensions of term therefor.

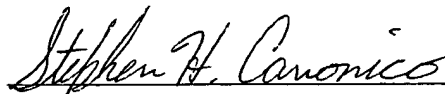
2 I, Stephen H. Canonico, hereby authorize and request the Commissioner of Patents and
3 Trademarks to issue any and all said Letters Patent to the said Appalachian Cast Products, Inc.
4 (Assignee), as the assignee of the entire right, title, and interest in and to the same, for its sole use
5 and behoof; and for the use and behoof of its legal representatives, assigns, and/or successors, to
6 the full end of the term and any extensions thereof for which any and all said Letters Patent may
7 be granted, as fully and entirely as the same would have been held by me had this assignment and
8 sale not been made.

9 And I, Stephen H. Canonico, hereby covenant and agree that I will, at any time, upon
10 request, execute and deliver any and all papers that may be necessary or desirable to perfect the
11 titles of said Assignee or its successors or assigns to said Invention and to any and all Letters
12 Patent that may be granted therefor, both domestic and foreign, as well as any and all Letters
13 Patent granted upon any divisional, reissue, re-examination, continuation, and/or continuation-in-
14 part applications claiming priority thereof, both domestic and foreign, as well as any and all
15 extensions of term therefor.

16 WHEREFORE, Stephen H. Canonico, with intent to be legally bound, now subscribes to
17 this Assignment by his signature set forth below.

18 Executed this 21 day of OCTOBER, 2003, at the city/town of

19 ABINGDON, VIRGINIA

20 

21 Stephen H. Canonico (Assignor/Inventor)

22

1
2 STATE OF Virginia)
3) SS:
4 COUNTY OF Washington)

5 Sworn and subscribed before me at the city/town of Abingdon, Va
6 this 21 day of October, 2003, by the said Stephen H. Canonico, who
7 personally appeared before me and acknowledged the foregoing instrument to be his free act and
8 deed.

9 Y. Malinda Worley
10 Notary Public
11

12 My Commission expires:

13 6-30-04

14 (SEAL)

15